



10/071526 10/071526

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Forbes et al.

Application No. not yet assigned

Filed: herewith

For: SOFTWARE PACKAGE MANAGEMENT

Examiner: not yet assigned

Date: February 8, 2002

Art Unit:

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on February 8, 2002 as First Class Mail in an envelope addressed to:

COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 2021

attorney for Applicant

INFORMATION DISCLOSURE STATEMENT FOR CONTINUING APPLICATIONS

COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

Sir:

Listed on the accompanying form PTO-1449 are several English-language and/or non-English-language documents. Applicant(s) respectfully request that such documents be listed as references cited on the issued patent.

The present application relies upon U.S. Patent Application No. 09/099,570, which was filed June 19, 1998, for an earlier filing date under 35 U.S.C. § 120. Furthermore, documents listed on the accompanying PTO-1449 Form were disclosed to or cited by the Patent Office in the earlier U.S. application. As a result, copies of the documents cited on the accompanying form PTO-1449 Form need not be sent to the Patent Office pursuant to 37 C.F.R. § 1.98(d). However, applicants will furnish the Patent Office with such copies upon request.

GLM:dsh 02/08/02 3382-61916 MS#95486.2

Please charge any additional fees which may be required in connection with filing this Information Disclosure Statement, or credit any overpayment, to Deposit Account No. 02-4550. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

Gregory L. Maurer Registration No. 43,781

One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, Oregon 97204

Telephone: (503) 226-7391 Facsimile: (503) 228-9446

INFORMATION DISCLOSURE STATEMENT

BY APPLICANT

Docket: 3382-61916

App: not yet

assigned

Applicant: Forbes et al.

Filed: herewith

Art Unit: not yet

assigned

U.S. PATENT DOCUMENTS

T 1. do	37.1					
Init.*	Number	Date	Name	Class	Sub	Filed
	4,558,414	12/1985	Schmidt et al.	707	203	
	5,247,683	09/1993	Holmes et al.	709	221	
	5,555,416	09/1996	Owens et al.	395	712	
	5,586,304	12/1996	Stupek, Jr. et al.	395	712	
	5,721,824	02/1998	Taylor	709	203	
	5,742,829	04/1998	Davis et al.	395	712	•
	5,835,777	11/1998	Staelin	395	712	
	5,860,012	01/1999	Luu	395	712	
	6,247,128	06/2001	Fisher et al.	713	100	
	5,845,077	12/1998	Fawcett	709	221	
	4,796,181	1/3/89	Wiedemer	705	52	
	5,047,928	9/10/91	Wiedemer	705	52	
	5,142,680	8/25/92	Ottman et al.	717	11	
	5,155,484	10/13/92	Chambers, IV	341	55	
	5,155,680	10/13/92	Wiedemer	717	11	
	5,155,847	10/13/92	Kirouac et al.	709	221	
	5,267,171	11/30/93	Suzuki et al.	700	234	

EXAMINER:

DATE

^{*}Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.

INFORMATION DISCLOSURE STATEMENT

BY APPLICANT

Docket: 3382-61916

App: not yet assigned

Applicant: Forbes et al.

Filed: herewith

Art Unit: not yet

assigned

U.S. PATENT DOCUMENTS

Init.*	Number	Date	Name	Class	Sub	Filed
	5,337,360	8/9/94	Fischer	713	176	
	5,367,686	11/22/94	Fisher et al.	717	11	
	5,388,211	2/7/95	Hornbuckle	717	11	
	5,390,247	2/14/95	Fischer	713	176	
	5,421,009	5/30/95	Platt	709	221	
	5,473,772	12/5/95	Halliwell et al.	717	11	
	5,495,411	2/27/96	Ananda	705	32	
	5,496,177	3/5/96	Collia et al.	434	118	
	5,528,490	6/18/96	Hill	717	11	
	5,548,645	8/20/96	Ananda	705	52	
	5,586,322	12/17/96	Beck et al.	707	200	
	5,638,446	6/10/97	Rubin	705	51	
	5,654,901	8/5/97	Boman	717	11	
	5,694,546	12/2/97	Reisman	705	26	
	5,708,709	1/13/98	Rose	705	59	
	5,717,930	2/10/98	Imai et al.	717	11	
	5,748,960	5/5/98	Fischer	709	316	

EXAMINER:

DATE

^{*}Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.

INFORMATION DISCLOSURE **STATEMENT**

BY APPLICANT

Docket: 3382-61916

App: not yet

assigned

Applicant: Forbes et al.

Filed: herewith

Art Unit: not yet

assigned

U.S. PATENT DOCUMENTS

		U.B. IA	TENT DOCUMENTS			
Init.*	Number	Date	Name	Class	Sub	Filed
	5,838,906	11/17/98	Doyle et al.	709	202	
	5,845,090	12/1/98	Collins, III et al.	709	221	
	6,049,671	4/11/00	Slivka et al.	717	11	•
	6,151,643	11/21/00	Cheng et al.	710	36	
						

FXA	M Z	NER:
	TIATT	ATTY.

DATE

^{*}Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.

INFORMATION DISCLOSURE STATEMENT

BY APPLICANT

Docket: 3382-61916

App: not yet

assigned

Applicant: Forbes et al.

Filed: herewith

Art Unit: not yet

assigned

U.S. PATENT DOCUMENTS

Init.*	Number	Date	Name	Class	Sub	Filed
	5,701,451	12/1997	Rogers	707	1	
	5,710,918	1/1998	Lagarde	707	10	
	5,732,275	3/1998	Kullick et al.	717	11	
	5,764,992	6/1998	Kullick et al.	717	11	
	5,881,236	3/1999	Dickey	709	221	
	5,909,581	6/1999	Park	717	11	
	6,009,274	12/1999	Fletcher et al.	717	11	
	6,074,434	6/2000	Cole et al.	717	11	
	5,999,740	12/1999	Rowley	717	11	
	5,913,040	6/1999	Rakavy et al.	709	232	
	5,678,002	10/1997	Fawcett et al.	345	709	
	6,016,520	1/1998	Facq et al.	710	33	· · ·

OTHER DOCUMENTS

Pei Wei, O'Reilly & Associates, "WWW Browsers: Extensibility Issues," Stanford Computer Forum WWW Workshop, 1 page, September 20-21, 1994.
Pei Wei, O'Reilly & Associates, "Extensibility in WWW Browsers," Stanford Computer Forum WWW Workshop, 3 pages, September 20-21, 1994.
Smalley, "Hermes Nears Finish Line; Bloodhound will be Part of Summer Debut," PC Week, Vol. 11, No. 19, p. 31, May 16, 1994

Information Disclosure Statement (1449) Page 4 of 9

Docket: 3382-61916 App: Not yet INFORMATION DISCLOSURE assigned **STATEMENT** Applicant: Forbes et al. BY APPLICANT Art Unit: Not yet Filed: herewith assigned FOREIGN PATENT DOCUMENTS Number Date Country Class Sub EP0811942A2 12/10/97 **EPO OTHER DOCUMENTS** Williams, "Internet Component Download," http://msdn.microsoft.com/library/periodic/period96/component.htm, pp. 1-3, Summer 1996. Rozenblit, "O, A&M Capabilities for Switching Software Management," *IEEE*, pp. 357-361, 1993. Mori et al., "Superdistribution: The Concept and the Architecture," The Transactions of the IEICE, Vol. E 73, No. 7, pp. 1133-1146, July 1990. Lichty, "Getting the Goods (FTP)," America Online's Internet Windows Edition, pp. 135-167, 170-178, 1994. Lichty, "Computing," The Official America Online for Macintosh Tour Guide, Version 2.5, pp. 123-163, 1994. "Mercury Operator's Log: October 26-December 1, 1994," http://www.usc.edu/dept/raiders/logs/alpha-log-941202-941224.html, December 24, 1994. **EXAMINER:** DATE *Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.

Information Disclosure Statement (1449) Page 5 of 9

GLM:dsh 02/08/2002 3382-61916 #95486.2

INFORMATION DISCLOSURE STATEMENT

BY APPLICANT

Docket: 3382-61916

App: not yet

assigned

Applicant: Forbes et al.

Filed: herewith

Art Unit: not yet

assigned

		1 House More William	assigned
		Crowds, Queries; Corporate Role 38, p. 14, September 19, 1994.	Remains Uncertain,"
	Darrow et al., "Microsoft Deb 596, pp. 1-2, September 19, 1	outs Low-Cost NT 3.5," <i>Comput</i> 994.	er Reseller News, No.
		cations," http://www.viola.org/legs , prior to December 12, 1996.	book/chp13.html,
	"The Viola Home Page," http	://www.viola.org/, 1 page, prior	to December 12, 1996.
	"Viola WWW Features List," December 12, 1996.	http://www.viola.org/vwFeatur	es.html, 1 page, prior to
		la Engine, and its Applications, ro.html, 15 pages, August 16, 19	
	"Viola in a Nutshell," http://w December 12, 1996.	ww.viola.org/book/preface.htm	1, 3 pages, prior to
	Flavin et al., "Management of 232-241, 1988.	Distributed Applications in La	rge Networks," IEEE, pp.
	Raggett et al., HTML 3 Electr Wesley Longman, pp. 219-22	onic Publishing on the World W 0, 228-241, June 1996.	'ide Web, Addison
	Balderston, "Oil Change Tops InfoWorld, Vol. 18, No. 22, p.	s Off PC's with Automatic Softv 50, May 27, 1996	ware Updates,"
	Branwyn, "Getting Started," Npp. 11-26, 1994.	Mosaic Quick Tour, Ventana Pre	ess, Inc., Chapel Hill, NC
· · · · · · · · · · · · · · · · · · ·		Information Di	isclosure Statement (1449) Page 6 of 9

LM:dsh 02/08/2	.002 3382-61916 #95486	.2				
	ORMATION DIS	CLOSURE	Docket: 3382-61916	App: not yet assigned		
	. STATEMEN		Applicant: Forbes et al.			
	BY APPLICA	NT	Filed: herewith Art Unit: not yet assigned			
			vs 95 Rushes the Net: Netscape, Na, Vol. 12, No. 34, p. 11, August	-		
	Branwyn, 1994.	Mosaic Quick T	our, Ventana Press, Inc., Chapel	Hill, NC, pp. 104-112,		
	Wingfield, p. 16, May		Pact with Sun, Macromedia," In	nfoWorld, Vol. 17, No. 22,		
	Walsh, Fo		va Programming for the World W	Vide Web, "Java in Action,"		
	1	undations of Jar ms," pp. 569-60	va Programming for the World W 5, 1996.	Vide Web, "Input/Output:		
			riefing: CyberMedia Oil Change Association, Inc., p0514CLTUC			
T N			dia: Cybermedia announces beta usiness Wire, p06180186, 1 page			
	software u	•	ermedia Oil Change Software wil atically," Gale Group, PR Newsv May 14, 1996	-		

EXAMINER:

May 14, 1996

DATE

PR Newswire, "Position Briefing: Oil Change can lower both user and vendor tech support costs," Gale Group, PR Newswire Association, Inc., p514NYTU042, 1 page,

Information Disclosure Statement (1449) Page 7 of 9

^{*}Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.

INFORMATION DISCLOSURE **STATEMENT**

BY APPLICANT

Docket: 3382-61916

App: not yet

assigned

Information Disclosure Statement (1449) Page 8 of 9

Applicant: Forbes, et al

			Filed: herewith	assigned
		OTHER DO	OCUMENTS	
		Hoff, A., et al., "Specification for http://www.marimba, Marimba, l		n (OSD) Format,"
		Lebel-Fraser-Dagenais et al., LUI http://www.cs.ualbert.ca/operation		
EXAMIN	ER:		DATE	
		if considered, whether or not in concite if not in conformance and not conformance.		

INFORMATION DISCLOSURE **STATEMENT**

BY APPLICANT

Docket: 3382-61916

App: Not yet

assigned

Applicant: Forbes et al.

Filed: herewith

Art Unit: not yet

assigned

J.S. PATENT	' APPLICATION	DOCUMENTS
-------------	---------------	-----------

Init.*	Number	Date	Name	Class	Sub	Filed
	08/764,040	12/12/96	Parthasarathy et al.			
						•
EXAMIN	ER:		DATE			

^{*}Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.

Information Disclosure Statement (1449) Page 9 of 9